

**UNITED STATES DISTRICT COURT  
DISTRICT OF NEW JERSEY**

IN RE HUMANIGEN, INC.  
SECURITIES LITIGATION

Case No. 2:22-cv-05258-WJM-AME

**SUMMARY NOTICE**

**TO: ALL PERSONS OR ENTITIES WHO PURCHASED OR  
OTHERWISE ACQUIRED HUMANIGEN, INC. SECURITIES  
BETWEEN MAY 16, 2020 AND JULY 12, 2022.**

**YOU ARE HEREBY NOTIFIED**, pursuant to Rule 23 of the Federal Rules of Civil Procedure that a hearing will be held on \_\_\_\_\_, 202\_\_, at \_\_\_\_:00 \_\_\_\_m., before the Honorable William J. Martini, United States District Judge, in Courtroom MLK 4B at the Martin Luther King Building & U.S. Courthouse, 50 Walnut Street, Newark, New Jersey 07101, for the purpose of determining, among other things, whether the following matters should be approved: (1) the proposed Settlement of the claims in the Litigation for the sum of \$3,000,000.00 in cash should be approved by the Court as fair, reasonable and adequate to the Members of the Settlement Class; (2) whether, thereafter, the Litigation should be dismissed with prejudice as set forth in the Stipulation of Settlement dated September 22, 2023 (“Stipulation”); (3) whether the Plan of Allocation is fair, reasonable and adequate and therefore should be approved; and (5) whether the application of Lead Counsel for the payment of attorneys’ fees and reimbursement of expenses incurred in connection with the Litigation and awards to the Plaintiffs should be approved.

If you purchased or otherwise acquired Humanigen securities between May 16, 2020 and July 12, 2022, both dates inclusive, your rights may be affected by the settlement of this Litigation. If you have not received the detailed Notice of Pendency and Proposed Settlement of Class Action (the “Notice”) and a copy of the Proof of Claim and Release Form, you may obtain them free of charge by

contacting the Claims Administrator, by mail at: *In re Humanigen, Inc. Securities Litigation*, Claims Administrator, \_\_\_\_\_.

If you are a member of the Settlement Class and wish to share in the distribution of the Settlement Fund, you must submit a Proof of Claim no later than \_\_\_\_\_, establishing that you are entitled to recovery. As further described in the Notice, you will be bound by any Judgment entered in the Litigation, regardless of whether you submit a Proof of Claim, unless you exclude yourself from the Settlement Class, in accordance with the procedures set forth in the Notice, no later than \_\_\_\_\_. Any objections to the Settlement, Plan of Allocation or attorneys' fees and expenses must be filed and served, in accordance with the procedures set forth in the Notice, no later than \_\_\_\_\_.

Inquiries, other than requests for the Notice, may be made to Lead Counsel for the Settlement Class: Adam M. Apton, Esq., Levi & Korsinsky, LLP, 33 Whitehall Street, 17th Floor, New York, New York 10004, [aapton@zlk.com](mailto:aapton@zlk.com); and Jeremy A. Lieberman, Esq., Pomerantz LLP, 600 3rd Avenue, New York, New York 10016, [jalieberman@pomlaw.com](mailto:jalieberman@pomlaw.com).

**INQUIRIES SHOULD NOT BE DIRECTED TO THE COURT, THE CLERK'S OFFICE, THE DEFENDANTS, OR DEFENDANTS' COUNSEL.**

If you have any questions about the Settlement, you may contact Lead Counsel at the address listed above.

**DATED:** \_\_\_\_\_, 2023

**BY ORDER OF THE UNITED STATES  
DISTRICT COURT FOR THE  
DISTRICT OF NEW JERSEY**